



# A Brief Introduction to Computational Complexity

## CS402 (Principles of Programming Languages)

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# Overview

- 1 Computational Complexity?
  - Definition of a Problem
- 2 Types of Problems
  - Decision Problem (Focus)
- 3 What Questions?
  - Asymptotic Point of View
- 4 Space and Time
  - Machine Model?
  - Space versus Time (continued)
- 5 Non-determinism (NP and coNP)
  - Non-determinism (continued)
  - Relations

# Overview



## ① Computational Complexity?

- Definition of a Problem

## ② Types of Problems

## ③ What Questions?

## ④ Space and Time

## ⑤ Non-determinism (NP and coNP)

# Computational Complexity?

The study of the computational resources required for solving a given **problem**.

## Examples of Problems:

- ▶ Given an integer, decide if it is prime.
- ▶ Given a set of integers, compute their average.
- ▶ Given a set of integers, sort them in ascending order.
- ▶ Given a map, find the shortest route from  $a$  to  $b$ .
- ▶ Given a set of constraints, find a solution that satisfies them all.
- ▶ Given a graph, decide if...
  - ▶ ... it is connected.
  - ▶ ... it admits a 3-coloring.
  - ▶ ... it contains a clique of size 5.
  - ▶ ...

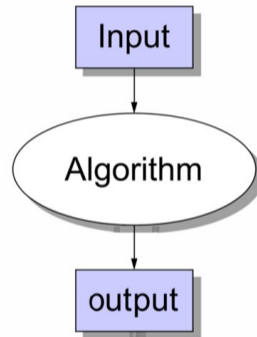
## Definition of a Problem

Formally, a problem is a relation between two domains: the input domain and the output domain. Equivalently, it is a function from the input domain to the output domain.

Since computers (so far...) are discrete machines, both domains can be represented as sets of words over the  $\{0, 1\}$  alphabet. Thus, a problem is a function from binary words to binary words:

$$\text{Problem } \Pi : \{0, 1\}^* \rightarrow \{0, 1\}^*$$

An algorithm solves  $\Pi$  if it computes  $\Pi(x)$  for any  $x \in \{0, 1\}^*$  (or in a prescribed subset of  $\{0, 1\}^*$ ).



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## Types of Problems

Different types of problems (examples based on coloring of a graph  $G$ ):

- ▶ **Decision:** Does  $G$  admit a  $k$ -coloring (for a given  $k$ )?
- ▶ **Search:** Find a  $k$ -coloring of  $G$  (for a given  $k$ ).
- ▶ **Counting:** How many  $k$ -colorings does  $G$  admit (for a given  $k$ )?
- ▶ **Optimization:** What is the smallest  $k$  such that  $G$  admits a  $k$ -coloring?

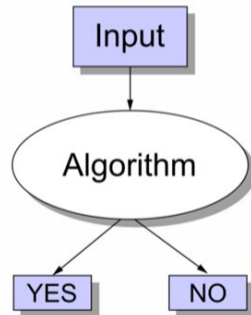
## Decision Problem (Focus)

A special case where  $\Pi : \{0, 1\}^* \rightarrow \{0, 1\}$  (the answer is YES or NO).

$x \in \{0, 1\}^*$  is a positive instance if  $\Pi(x) = 1$  (resp. negative if 0).

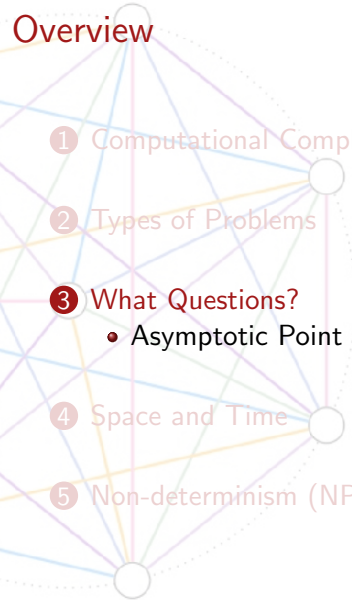
Positive instances define a “formal language” (i.e., a set of words)  $L \subseteq \{0, 1\}^*$ .

*Point of View:* Solving a decision problem boils down to deciding if a given word is in  $L$ .





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# What Questions?

## Goal of computational complexity:

→ classify the problems according to the resources needed for solving them.

## What Type of Resources?

- ▶ **Time** (= number of operations)
- ▶ **Space** (= memory)
- ▶ **Randomness** (number of random bits)
- ▶ **Non-determinism**
- ▶ ...

## Asymptotic Point of View

- ▶ What matters is how these quantities scale with the size of the input, noted  $n$ .
- ▶ Notations:  $O(\cdot)$ ,  $\Omega(\cdot)$ ,  $\Theta(\cdot)$ ,  $o(\cdot)$ ,  $\omega(\cdot)$
- ▶ Intuition:  $\leq$ ,  $\geq$ ,  $=$ ,  $<$ ,  $>$  as  $n \rightarrow \infty$ , up to constant factors.
- ▶ Examples:
  - ▶ Constant:  $O(1)$
  - ▶ Logarithmic:  $O(\log n)$
  - ▶ Linear:  $O(n)$
  - ▶ Quasi-linear:  $O(n \log n)$
  - ▶ Quadratic:  $O(n^2)$
  - ▶ Polynomial:  $O(n^c) = n^{O(1)} = \text{poly}(n)$
  - ▶ Exponential:  $O(2^n)$  or  $O(2^{\text{poly}(n)})$
  - ▶ Factorial:  $O(n^n)$

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## Space and Time

Unless otherwise mentioned, we focus on decision problems.

### Generic Classes

- ▶  $\text{TIME}(f(n))$ : Set of problems solvable in time  $O(f(n))$ .
- ▶  $\text{SPACE}(f(n))$ : Set of problems solvable in space  $O(f(n))$ .

### Main Classes of Complexity

$L := \text{SPACE}(\log n)$  Problems solvable in logarithmic space

$P := \text{TIME}(\text{poly}(n))$  Problems solvable in polynomial time

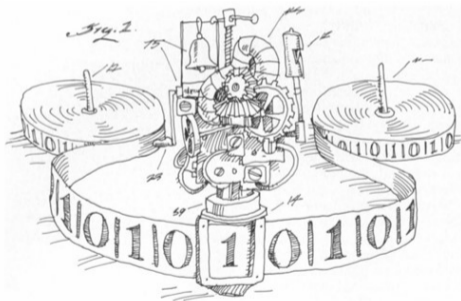
$\text{PSPACE} := \text{SPACE}(\text{poly}(n))$  Problems solvable in polynomial space

$\text{EXP} := \text{TIME}(2^{\text{poly}(n)})$  Problems solvable in exponential time

$$L \subseteq P \subseteq \text{PSPACE} \subseteq \text{EXP}$$

## Machine Model?

- ▶ Turing machines
- ▶ Intuition: “standard algorithm” OK
- ▶ Universality?



## Space versus Time (continued)

### Theorems and Hierarchies (Simplified)

Given two functions  $f_1(n)$  and  $f_2(n)$  (abbreviated as  $f_1$  and  $f_2$  below):

▶ **Time hierarchy:**

$$f_1 = o(f_2 \log f_2) \Rightarrow \text{TIME}(f_1) \subsetneq \text{TIME}(f_2) \quad (\text{Stearns and Hartmanis '65})$$

▶ **Space hierarchy:**

$$f_1 = o(f_2) \Rightarrow \text{SPACE}(f_1) \subsetneq \text{SPACE}(f_2)$$

### Space versus Time?

$$\text{TIME}(f(n)) \subseteq \text{SPACE}\left(\frac{f(n)}{\log(f(n))}\right) \quad (\text{Hopcroft, Paul, Valiant '77})$$

→ *Space is strictly better than time!*

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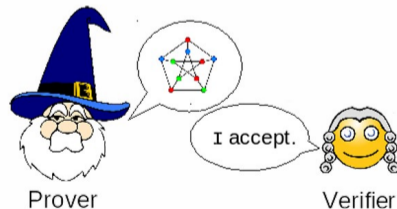
## Non-determinism (NP and coNP)

Several equivalent definitions exist, with the simplest being:

- ▶ NP:  $\exists$  short proof when the answer is YES (positive certificate)
- ▶ coNP:  $\exists$  short proof when the answer is NO (negative certificate)

Short proof = one that is verifiable in polynomial time.

**Example:** 3-COLORATION  $\in$  NP (certificate = the coloring itself)



## Non-determinism (continued)

### More Generally

- ▶  $\text{NTIME}(f(n))$ :  $\exists$  positive certificates verifiable in time  $O(f(n))$ .
- ▶  $\text{NSPACE}(f(n))$ :  $\exists$  positive certificates verifiable in space  $O(f(n))$ .
- ▶  $\text{coNTIME}$  and  $\text{coNSPACE}$ : Same for negative certificates.

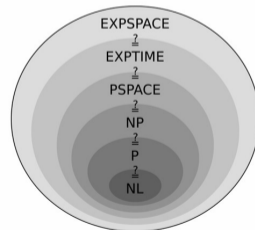
### Main Classes (Non-deterministic Version)

$NL := \text{NSPACE}(\log n)$

$NP := \text{NTIME}(\text{poly}(n))$

$NPSPACE := \text{NSPACE}(\text{poly}(n))$

$NEXP := \text{NTIME}(2^{\text{poly}(n)})$

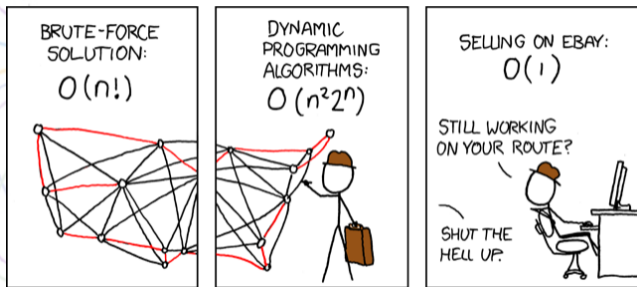


# Relations

- ▶  $P \subseteq NP \cap coNP$  (algorithm = verifier with an empty certificate)
- ▶  $NSPACE(f(n)) \subseteq SPACE(f^2(n))$  (Savitch'70)
- ▶  $NSPACE(f(n)) = coNSPACE(f(n))$  (Immerman–Szelepcsényi'87)
- ▶  $NTIME(f(n)) \subseteq SPACE(f(n))$  (Certificate enumeration)
- ▶  $L \stackrel{?}{=} NL \stackrel{?}{=} P \stackrel{?}{=} NP \stackrel{?}{=} PSPACE \stackrel{?}{=} EXP \stackrel{?}{=} NEXP \stackrel{?}{=} EXPSPACE$  (Inclusions OK)
- ▶ Padding arguments:  $P = NP \Rightarrow EXP = NEXP$  (and others)
- ▶  $P \stackrel{?}{=} NP$  Does “easy to check” imply “easy to compute”?

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Thanks!